

10/586297

13 JUL 2006

DOCKET NO.: 4959

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE MATTER OF THE APPLICATION FOR PATENT

OF: Harald FABER et al.

SERIAL NO.: to be assigned - new

FILED: July 13, 2006

FOR: Cellular Wheel Sluice

MS PCT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

July 13, 2006

INFORMATION DISCLOSURE STATEMENT

Dear Sir:


- 1) Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 applicant encloses a Form PTO-1449, a copy of references AD, AF, AH and AJ cited thereon, English abstracts for references as indicated on Form PTO-1449, the English version of the International Search Report issued on May 31, 2005 in the corresponding PCT International Application, and a copy and an English translation of a German Search Report issued on September 20, 2004 in a counterpart German patent application. Copies of references AE, AG, AI and AK to AM are not (and need not be) enclosed, because they have been transmitted to the USPTO by the International Searching Authority in the International processing of this PCT application.

IAP6 Rec'd PCT/PTO 13 JUL 2006

- 2) This Information Disclosure Statement is being filed simultaneously with the above identified new patent application.
- 3) References AA to AC are in English. Reference AE corresponds to AA, and AF corresponds to AB, from which the relevance can be determined in English. References AE to AG and AJ to AM are accompanied by English Abstracts. References AH and AJ are discussed at pages 2 and 3 of the present specification.
- 4) Moreover, the enclosed Search Reports indicate the degree of relevance of references AD, AE, AG, AI and AK to AM by category ("Y" means relevant to obviousness when combined with another reference; and "A" means technological background). Thereby a concise explanation of the relevance has been provided (see MPEP §609).
- 5) The Examiner is requested to consider all references of record, return an initialed copy of the enclosed Form PTO-1449 and ensure that all references of record are printed on any patent issuing from this application.

Respectfully submitted,
Harald FABER et al.- Applicant

WFF:sk/4959
Enclosures:
postcard
Form PTO-1449
4 references, 7 abstracts
Eng. version of Int. Search Report
German Search Report &
English Translation

By 
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USPS EXPRESS MAIL
EV 636 852 094 US
JULY 13 2006

Sheet 1 of 1 IDS LIST OF REFERENCES CITED BY APPLICANT (FORM PTO-1449) DATED: July 13, 2006				Atty. Docket No.: 4959		10/586297 Serial No.: to be assigned	
				Applicant: Harald FABER et al.			
				U.S. Filing Date: July 13, 2006		Art Unit:	
U. S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	Cl.	Sub- Cl.	Fil. Date
	AA	4,610,399	09/1986	Weichenrieder	-	-	-
	AB	5,114,053	05/1992	Beirle	-	-	-
	AC	2003/0231933	12/2003	Prough	-	-	-
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	COUNTRY	Cl.	Sub- Cl.	English
	AD	0 562 287	10/1932	Germany	-	-	No
	AE	34 01 774	08/1985	Germany	-	-	Abstract, = AA
	AF	40 04 415	12/1990	Germany	-	-	Abstract, = AB
	AG	199 01 967	08/2000	Germany	-	-	Abstract
	AH	200 06 800	07/2000	Germany (Utility Model)	-	-	No
	AI	201 08 844	01/2002	Germany (Utility Model)	-	-	No
	AJ	101 17 187	06/2002	Germany	-	-	Abstract
	AK	101 19 306	10/2002	Germany	-	-	Abstract
	AL	0 505 707	09/1992	Europe	-	-	Abstract
	AM	1 110 885	06/2001	Europe	-	-	Abstract
OTHER DOCUMENTS							
EXAMINER'S SIGNATURE				DATE CONSIDERED			
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							

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